Financial Adviser Profile

FITZROY FINANCIAL ADVICE
PTY LTD

Overview

Marcus began his career at a Brisbane based financial advisory firm in 2002 and first provided advice as a licensed financial adviser in 2004. Prior to forming Fitzroy Financial Advice Pty Ltd, Marcus was with The Westpac Group for nine years.

From his base in Rockhampton, Marcus provides advice to a diverse client base. Marcus specialises in retirement planning, wealth creation, tax effective investment planning, and wealth protection.

Marcus is driven to place his clients in the best position possible for a fulfilling financial future; he is compassionate, sincere, and thoughtful in his approach to giving advice.

Outside of the financial services sector Marcus is a family man; he is a husband and a father of two. He is passionate about raising funds and awareness for those living with Multiple Sclerosis (MS). Having been diagnosed with MS himself at the age of 25, Marcus feels that his ability to contribute to improving the lives of people and families living with MS is the best way he can help the local MS community.

Marcus is a Sub-Authorised Representative of Fitzroy Financial Advice Pty Ltd, Corporate Authorised Representative No. 1276938. Authorised Representative No. 1004635.

Qualifications

Marcus holds a Master of Financial Planning and meets the competency requirements under ASIC’s Regulatory Guide RG 146.

Professional Memberships

Marcus is a member of the Self Managed Super Fund Association and abides by their code of professional conduct and ethics.

Authorisations

Marcus is authorised to provide advice and deal in the following financial products:

- Life Products including Investment Life Insurance Products & Life Risk Insurance Products;
- Interests in Managed Investment Schemes including Investor Directed Portfolio Services;
- Deposit & Payment Products;
- Retirement Savings Accounts (“RSA”) products;
- Debentures, Stocks or Bonds issued or proposed to be issued by a Government;
- Superannuation;
- Self Managed Superannuation Funds;
- Securities; and
- Standard Margin Lending Facility.

Marcus Leggat

Fitzroy Financial Advice

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Fitzroy Financial Advice Pty Ltd Advice Fees and Charges

Marcus will be paid by Financial Planning Advice Fees as described in the Financial Services Guide. The amount of the benefit and how it is calculated will be disclosed to you during your initial meeting.

Marcus's fee for the preparation of a Statement of Advice and other Advice documents will vary depending on the complexity involved and the time taken. You will be notified of the costs involved prior to the commencement of any work.

Marcus provides the option of ongoing reporting and advisory services. This fee starts at $4,400 p.a. incl. GST. You will be notified of the cost involved prior to the commencement of any ongoing services.

Fitzroy Financial Advice Pty Ltd pays a fixed licensing fee to Capstone Financial Planning Pty Ltd and will receive all revenue earned from the financial services provided to you. Marcus is a Director of Fitzroy Financial Advice Pty Ltd and will receive a salary/benefit from this company.

Other Benefits Marcus May Receive

From time to time Marcus may be invited to social or sporting events and receive the occasional gift such as a bottle of wine or hamper on special occasions. These non-cash benefits will have a value of less than $300. A register listing the details of any non-cash benefits between $100 and $300 is maintained. These invitations and gifts do not influence the advice provided to you. If you would like more information you can request a copy of the register.